EXHIBIT A

PATENTS AND PATENT APPLICATIONS

[Schedules 1.2(c)(i) and (ii)]

Schedule 1.2(c)(ii) Patent Applications

PCT Applications

	·		D / D - N -	1.	
Patent Title		lo File Date	Pat Ser No	Licensee	Assigne
Improved Methods for Protein Refolding	1997.8068H	10/31/200	1 PCT application		
Method for Modulation of Cell Phenotype	1998.9075H	10/12/200	0 PCT/US00/28316	Jointly owned with VA & NJH	7
Porous Membrane and Thin Film Structure Control Device Employing an Electric Field	1999.10009B	6/18/2001	PCT/US01/19394		
Compositions and Methods for Regulating Metabolism in Plants	1999.10031C	3/30/2001	PCT/US01/10236		
Compositions and methods for treating wounds using cyanoacrylat wound sealant and silver			PCT/US01/10245		
Stable (Fixed) Forms of Viral Capsid Proteins, and Viral Capsid Protein Fusions, Preferable	1999.10042H	6/11/2001	PCT/US01/18701	Jointly owned with Georgetown	
Thermal dissociation	1999.10058B	5/8/2001	PCT/US01/15160	Jointly owned with NREL	
Selective toxin expression in angiogenic endothelial cells	1999.10059H	11/3/2000	PCT/US00/30471		
Progesterone Receptor-Regulated Gene Expression and Methods Related Thereto	1999.10091H	6/28/2001	PCT/US01/20612		
Altered HOX and WNT7a Expression in Human Lung Cancer	2001.014H	10/11/2001	PCT/US01/31960		
nhibitors of Viral Infection	2001.021B	5/22/2001	PCT/US01/16674	Jointly owned with Stanford; optioned to Lilly	
Rapid Conversion of Metal- Containing Compounds to Form Metal or Metal-Oxides	1999.10045B	2/22/2001	PCT/US01/06032	OMG Americas	
Compositions and Methods for Controlled-Release Delivery and Increased Potency of	1999.10030B	11/1/2001	PCT/US00/30424		

Patent Title	Disclosure N	o File Date	Issue Date	Pat Ser No	Pat No	Licensee	Assignee
Probe swivel mechanism	n 1981.CU139	H 2/8/1985	2/10/1987	06/699,677	4,641,657		University
ž		:		<u>:</u>			Patents Inc.
Dhaanbaromidito	1979.CU112E	2 8/6/1084	15/26/1987	1637,927	4,668,777	<u> </u>	CTI
Phosphoramidite nucleoside compounds	1979.001121	:	13/20/1907	1007,327	4,000,777	}	CII
						Ì	
Process and defined	1982.CU174E	7/15/1983	6/16/1987	06/514,178	4,673,649		СТІ
Imedium for growth of	i			İ			
human epidermal							
:DC to Three Phase	1985.CU247B	5/7/1986	6/30/1987	860,405	4,677,539		University
Switched Mode Converte	rs ;						Patents Inc.
 Flexible endoscopic ligation	na 1985.CU262H	1/13/1987	4/5/1988	07/003,045	4,735,194		СТІ
instrument							
							<u>i</u>
Method for parallel	1987.FD1B	2/7/1989	2/7/1989	07/136,992	4,802,951		Trustees of
fabarication of nanometer	*						Boston U;
scale multi-device Surface stabilized	1982.CU181B	7/28/1988	6/20/1989	07/225,464	4,840,463		University of
ferroelectric liquid crystal	1302.001018	112011300	0/20/1909	011225,404	,010,100		
devices	!						
uperconductor devices	1988.FD17B	2/12/1988	6/27/1989		4,843,504		U OF C
useful for disk drives and	: !	į		1	1		FOUNDATION
the like		14/00/4000	0/20/4000		4,862,471		U of C
Semiconductor Light Emitting Device	1986.FD2B	4/22/1988	8/29/1989	ŀ	4,002,471		Foundation
Emilling Device	•	İ		į			Canadion
Superconductor Devices	1988.FD17B	4/12/1989	5/15/1990	 	4,926,082		UOFC
for Disk Drives	••			į			FOUNDATION
	1005 0115-011	1	12424222	00/000 550	14.040.050		ā=-
Assay for sulfhydryl amino acids and methods for	1985.CU280H	11/20/1986	7/10/1990	06/933,553	4,940,658		СТІ
detecting and		! {		-0.5			
Process and defined	1982.CU174B	12/9/1986	7/10/1990	06/939,579	4,940,666		CTI
nedium for growth of	:	i				•	
numan epidermal	:	·		<u> </u>	i		
	1989.FD88B	4/12/1990	11/13/1990	507,829	4,970,093		U OF C
				:			FOUNDATION
Process for oligonucleo tide	1979 CU1112R	9/18/1986	11/27/1990	909,070	4,973,679		ICTI
synthesis using					,	•	; - · ·
phosphormidite	:	!	! !	;	!		
							11.05.0
Optoelectronic	1989.FD688	10/27/1989	12/11/1990	,	4,977,433		U OF C FOUNDATION

Patent Title	Disclosure N	o File Date	Issue Date	Pat Ser No	Pat No	Licensee	Assignee
Optical photodiode switch array with zener diode	11988.FD49- FD3B	9/8/1989	12/18/1990)	4,979,002		U OF C FOUNDATIO
RNA ribozyme polymerases,	1986.CU274	B 12/3/1986	1/22/1991	06/937,327	4,987,071		сті
dephosphorylases, Unitary skate assembly having vertical spring imeans	1990.FD106E	3 4/11/1990	2/19/1991		4,993,725		U OF C
¡Electromagnetic pyramidal cone absorber with improved low frequency	1989.FD35B	3/24/1989	5/14/1991	328,409	5,016,185		U OF C FOUNDATIO
RNA ribozyme polymerases, and methods	1986.CU274B	3/16/1989	8/6/1991	07/324,385	5,037,746	,	СТІ
Spatial Light Modulators	1990.FD105B	5/11/1990	12/17/1991	522,609	5,073,010		U OF C
Methods of detecting picornaviruses in biological ruids and tissues	1984.CU329H	3/27/1989	12/24/1991	07/329,428	5,075,212		СТІ
mterleukin-1 inhibitors	1987.FD20H	4/6/1990	12/24/1991	07/506,522	5,075,222	Amgen	U OF C FOUND note: E
Storing information bearing signals in a superconductive	1989.FD87B	12/22/1989	1/7/1992		5,079,219		U of C Found
Recording head suspension utilizing superconductor means	1990.FD57B	6/1/1990	1/21/1992		5,082,827		U of C Foundation
	1982.CU181B	3/9/1990	1/28/1992	07/491,464	5,083,855		СТІ
RNA ribozyme polymerases, dephosphorylases,	1986.CU274B	8/3/1990	3/3/1992	07/562,672	5,093,246		СТІ
	1986.CU274B	3/24/1989	5/26/1992	07/328,503	5,116,742		СТІ
	1979.CU112B	6/18/1984	7/21/1992	601,813	5,132,418		СТІ
erroelectric liquid crystal 1 unable filters and color eneration	987.FD26B	5/11/1990	7/21/1992		5,132,826	ColorLink	'U of C Foundation

Patent Title	Disclosure No			Pat Ser No		Licensee	Assignee
HIV-1 Regulate Diphtheria Toxin	1990.FD167H	4/15/199	1 4/26/1994	07/685,601	5,306,631	Miles	U of C Foundation
CW and pulsed doppler diagnostic system	1981.CU139H	9/22/1988	5/24/1994	07/247,715	5,313,947		? Belongs to L
:Media for Normal Human Muscle Satellite Cells	1987.FD28B	8/12/1992	6/28/1994	928,958	5,324,656		U of C Foundation
RNA ribozyme which cleaves substrate RNA without formation of a	1986.CU274B	2/28/1992	10/11/1994	07/843,737	5,354,855		СТІ
DNA Sequences Encoding Proteins Used to Elicit and Detect Programmed Cell	1991.FD227H 	7/20/1992	11/1/1994		5,360,893		U of C Foundation
Liquid Crystal Fiber Waveguide		2/19/1993	11/1/1994	08/019,856	5,361,320		U of C Foundation
Method for screening and distinguishing between schalamin and folic acid		7/10/1991	12/20/1994	727,628	5,374,560	1	СТІ
distinguishing between cobalamin and folic acid	1991.FD165		12/20/1994		5,374,560	<u>, </u>	U of C Foundation
Optoelectronic maximum feetifier for detecting the hysical location of a	1991.FD181B	9/2/1993	1/3/1995		5,378,902		Regents of th U of C
Chiral smectic liquid crystal ptical modulators having ariable retardation	1993.FD175B 1	1/14/1991	1/10/1995	07/792,284	5,381,253		Regents of th U of C
ligh Power Factor Boost 1 lectifier Apparatus	1993.IR309B	2/10/1993	1/17/1995	08/165,404	5,383,109		U of Colorado
uman K-Casein 1	991,FD225H 1	0/13/1992	2/21/1995	07/962,569	5,391,497	F-	U of C Foundation
ethods of production of 1 ovel molybdenum-sulfide ; mers and reactions of the	991.FD155B 8	/27/1991	2/21/1995		5,391,791		U of C Foundation
	995.011.6011 2	19/1993	3/14/1995	19,854	5,397,895		USA
agnetic microparticles 19	992.FD218H 7/	20/1993	5/2/1995		5,411,730	i	JTC reassigned rom RCT)

Patent Title	Disclosure No	File Date	Issue Date	Pat Ser No	Pat No	Licensee	Assignee
Yeast assay to identify inhibitors of dibasic aminacid processing	<u> </u>	7/7/1993	5/9/1995	08/088,322	5,413,914		Regents of th
Methods of production of novel molybdenum-sulfide idimers and reactions of the	e;		5/9/1995		5,414,194		Regents of th U of C
Enhancing performance of perfluorinated ionomer membranes via dopant		8/25/1992	5/23/1995	07/935,016	5,417,832		U of C Foundation
Methods of production of inovel molybdenum-sulfide dimers and reactions of the		8/26/1993	8/4/1995		5,430,225		U of C Foundation
Convective Electrohydrodynamic fluid membranes	1992.FD197B	10/16/1992	7/18/1995	07/963,480	5,433,857	1	U of C Foundation
Assays for sulfhydryl amino acids and methylmalonic acid and their application to	•	10/14/1992	8/1/1995	960,783	5,438,017		СТІ
Assays for sulfhydryl amino acids and methylmalonic acid and their application to	:		8/1/1995	·	5,438,017		U of C Foundation
se of Controlled Periodic Illumination for an Improved Method of	1992.FD229B !	9/30/1993	8/8/1995	129,849	5,439,652	:	Regents of the U of C
Convective Electrohydrodynamic fluid membranes	1992.FD197B	8/23/1993	8/15/1995	110,409	5,441,639		Regents of the U of C
Flux-trapped superconducting magnets and method of manufacture		2/28/1994	8/22/1995	08/202,913	5,444,425	• .	Regents of the U of C
Polynucleotide Phosphorodithioate	1987.CU311B	10/15/1993	9/26/1995	138,140	5,453,496		СТІ
Polynucleotide Phosphorodithioate	1987.CU311		9/26/1995		5,453,496		University Patents Inc.
Diagnostic Method for Cobalamin Deficiency	19FD63		10/10/1995		5,457,055		U of C Foundation
Ceramic-zeolite composite nembarnes and use for eparation of vapor-gas	1994.FD269B 2	/24/1994	11/7/1995		5,464,798	,	Not shown
Chiral smectic liquid crystal inultipass optical filters including a variabe retarder	1993.FD175B :1	0/27/1992 1	1/21/1995	967,218	5,469,279	-	U of C Foundation

	· Disclosure No	File Date	Issue Date	Pat Ser No	Pat No	Licensee	Assignee
Patent Title	1991.FD191B	2/9/1994	12/5/1995		5,472,871		U of C
Isolation and	1991.101010	20,100				1	Foundation
characterization of the	أم						
nematode HER-1 gene an	,1992.FD228	12/1/1992	12/5/1995	07/983,632	5,472,935		Not shown
Tunable Microwave	;	1.2					
Devices Incorporating High	' :						
Temperature	1993.FD250B		2/20/1996		5,493,426		U of C
Lateral electrode smectic	,	i	İ	İ			Foundation
Iiquid		:	!	1	<u> </u>		
	1992.FD241B	2/1/1994	3/12/1996	08/189,563	5,498,823	·	Regents of the
Ion-exchange supports for facilitated transport	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	i	i				U of C
membranes with enhanced					1		5 (4)
Smectic liquid crystal	1993.FD290B	11/15/1993	4/23/1996		5,510,914		Regents of the
analog phase modulator	1	Ì					U of C
analog phase modulate.						0014	December of the
Range Estimation	1992.FD209B	6/25/1993	5/28/1996		5,521,695	CDM	Regents of the U of C
Apparatus and Method	1	ļ	}			Optics	0 01 0
					 F 522 225		Regents of the
DNA Sequence Encoding	1992.FD283H	19/13/1993	6/4/1996	120,960	5,523,225		U of C
Human Cystathionine Beta-	1 -	1	ļ		j		0 0. 0
~ nthase	i		24.04.000		5,527,682		Regents of the
_NA Sequences Encoding	1991.FD227H	10/19/1994	6/18/1996		0,021,002		U of C
Proteins Used to Elicit and	İ	·	ļ				
Detect Programmed Cell		7/10/1001	6/18/1996	<u> </u>	5,528,393	ColorLink	Regents of the
Split-element liquid crystal	1992.FD271B	7/12/1994	0/10/1990	İ	0,000		U of C
tunable optical filter	î :		ļ			}	
	1988.FD94B	11/19/1993	8/6/1996		5,543,078	Displaytec	University
Ferroelectric liquid crystals	1988.50946	:		!		h	Research
for nonlinear optics			:	,			Corp.
applications	4004 ID436H	10/9/1993	8/6/1996	08/516,545	5,543,499		Wake Forest U
DNA sequence encoding a	1954.11(45011	1			j		(co-owner)
polypeptide with anti-tumor		!	! !			<u> </u>	
properties	1003 ED175B	11/5/1992	9/3/1996	108/244,017	5,552,912		Regents of the
Chiral smectic liquid crystal	(1935.1 15 17 51	: 1 11 01 1 0 0 0 0 0 0 0 0 0 0 0 0 0 0		1			U of C
optical modulators						<u> </u>	
Compositions and methods	1090 FD167H	11/4/1993	9/10/1996	08/147,829	5,554,528	Miles	Board of
Compositions and methods	: 1990.1 D 10771		!	ļ			Regents of U
for inhibition of HIV		i	•	; !	:		of C
production	1982.CU181B	10/30/1992	19/10/1996	07/969,386	5,555,111	;	СТІ
Surface stabilized	1002.001010		•	i •	:	i :	
ferroelectric liquid crystal		•		!	<u>:</u>		071
devices with dielectric	1982.CU181B	5/31/1995	:9/10/1996	08/455,329	5,555,117	•	CTI
Online staniire	. 1002.00 10 10			:		,	·
ferroelectric liquid crystal				·			
evices							

			s. Issueu Palei	110			
Patent Title	Disclosure No	File Date	Issue Date	Pat Ser No	Pat No	Licensee	Assignee
Gas chromatography/mas spectrometry determinatio of oxidized sulfhydryl amin	s 1993.IR332H n		9/24/1996	08/238,754	5,559,038		Regents of the U of C
Silicon Quantum Dot Lase	r 1993.FD240B	6/7/1995	9/24/1996	07/473,523	5,559,822		Regents of the U of C
Dual Photodetector for Determining Peak Intensity of Pixels in an Array Using	1993.FD288B	9/30/1994	10/1/1996	08/316,5521	5,561,287		Board of Regents of U of C
Systemic Evolution of Ligands by Exponential Enrichment: Soluiton	1990.FD91B	6/5/1997	10/22/1996		5,567,588	Gilead	University Research Corp.
Autonomous parvovirus gene delivery vehicles and expression vectors	1992.FD253H	4/2/1993	12/17/1996	08/042,419	5,585,254		U of C Foundation
Tunable Electric Antenna Apparatus Including Ferroelectric Material	1992.FD228	6/7/1995	12/31/1996	484,012	5,589,845		Superconducti ng Core Technologies,
RNA ribozyme polymerases, phosphorylases,	1986.CU274B	7/21/1994	1/7/1997	08/278,624	5,591,610		СТІ
_pitope for prevention of type I diabetes	1993.IR336H	2/22/1995	1/14/1997	394,004	5,594,100		Regents of the U of C
Self-assembled monolayers for liquid crystal alignment	1993.IR315B	9/24/1993	1/21/1997		5,596,434	Displaytec h	University Research Corp.
Polynucleotide Phosphorodithioate Compounds	1987.CU311B	5/8/1995	2/11/1997	08/436,714	5,602,244	·	СТІ
Polynucleotide Phosphorodithioate Compounds	1987.CU311		2/11/1997		5,602,244		Competitive Technologies, Inc.
	1993.IR324B	8/11/1995	2/18/1997		5,604,782		Regents of the U of C
Optical cooling of solids	1996.7108B	9/25/1995	4/1/1997		5,615,558		not shown (co- linventor, NIST)
Liquid Crystal Handedness Switch and Color Filter	1992.IR297B	10/5/1993	4/8/1997	•	5,619,355	ColorLink	Regents of the U of C
Blood Treatment Device with Moving Membrane	1993.IR376B	8/1/1994	5/6/1997	08/284,057	5,626,759		Regents of the .U of C

	Disclosure No	File Date	lasus Data	Pat Ser No	Pat No	Licensee	Assignee
Patent Title Yeast strains used to identify inhibitors of dibasi amino acid processing	1991.RC71H	5/5/1995	Issue Date 5/6/1997	08/437,820	5,627,043	Literiste	Regents of the U of C
Liquid cyrstal phase modulator using cholester circular polarizers	! 	7/27/1994	5/6/1997	08/282,660	5,627,666		Board of Regents of the U of C
Ligation with hammerhead ribozymes	1996.7049B	7/14/1994	5/27/1997	08/275,156	5,633,133	RPI	not shown
Ferroelectric liquid crystals for nonlinear optics applications	1988.FD94B		6/10/1997		5,637,256		University Research Corp.
Methods for Fine Particle Formation	1993.IR350B	4/8/1994	6/17/1997		5,639,441	Temco; Aktiv-Dry	Board of Regents of U of C
Bradykinin antagonist peptides containing indane- substituted amino acids	1993.JR348H	11/18/1994	7/15/1997	344,636	5,648,336		University of Colorado
Screening for mutations by expressing CDNA	1992.FD284H	8/17/1993	8/12/1997	108,216	5,656,425		Regents of the U of C
Juid Crystal Achromatic Compound Retarder	1992.IR298B	4/7/1995	8/19/1997	·	5,658,490	ColorLink	Board of Regents of the U of C
Ferroelectric liquid crystals for nonlinear optics applications	1988.FD94B		8/19/1997		5,658,493		URC
	1995 ₋ 6049H	2/16/1996	9/23/1997	08/602,996	5,669,914	•	Board of Regents of the U of C
Method for the treatment of ischemic disease and reperfusion injury and the	1995.6028H	2/9/1996	9/23/1997	08/598,926	5,670,545	Keystone	Board of Regents of the U of C
	1995.6039H		10/28/1997		5,681,354		Board of Regents, U of C
Biological Denitrification of Water	1993.IR327B i	1/11/1996 :	10/28/1997	08/583,848 	5,681,471		Regents of the U of C
	1987.CU311B	5/17/1995	11/4/1997	442,705	5,684,148		CTI
Nucleoside Thiophosphoramidites	1987.CU311 :		11/4/1997	:	5,684,148		Competitive !Technologies, Inc.

*			. 155060 1 6161			1	:
Patent Title	Disclosure No	File Date	Issue Date	Pat Ser No	Pat No	Licensee	Assignee
Apparatus and method for analyzing heart sounds	1993.IR372H	7/3/1995	11/18/1997	08/498,673	5,687,738		The Regents of the U of C
CD4+ T-lymphocyte proteases	1991.RC71H	1/5/1995	11/25/1997	08/368,852	5,691,183		University Technology Corporation
Inhibition of Reverse Transcriptase by Phosphorodithioates	,1987.CU311		12/9/1997		5,695,979		Competitive Technologies, Inc.
Strategy for the production of RNA from immobilized templates	1993.IR301B	9/20/1993	12/23/1997		5,700,667	Gilead	Regents of the University of Colorado
Silicon quantum dot laser	1995.6019B	3/5/1996	12/30/1997	08/612,021	5,703,896		The Regents of the U of C
Iron chelator and inhibitor of iron-mediated oxidant injury	1995.017.6017	2/3/1995	2/24/1998		5,721,209	Keystone (Ucal/CU)	The Regents of the University of
Stabismus surgery apparatus and method	1995.6054H	9/26/1996	3/3/1998	08/721,279	5,722,982	(University Technology Corporation
.ymmetric Condylar and Trochlea Femoral Knee Component	1992.FD203H	11/3/1994	3/17/1998	334,385	5,728,162		Board of Regents of U of C
Method and Material for Use with Dental Composites for Improving	1995.6065B	3/11/1996	3/24/1998	08/613,348	5,730,601		The Regents of the U of C
Weakly neutrophilic anions	1994.IR441B	4/5/1996	3/24/1998	08/628,357	5,731,470		Board of Regents of the U of C
Detecting and Treating Heart Failure	1994.IR436H	8/18/1995	3/31/1998	08/516,545	5,733,728		Regents of the U of C; Wake Forest U
Liquid crystal optical frequency shifter	1989.FD109B	5/1/1995	4/14/1998	08/431,773	5,739,908		The Regents of the U of C
Prostaglandin F-2 alpha receptor regulatory protein	1994.IR454H	11/6/1995	5/5/1998	08/554,612	5,747,660		The University of Colorado
and therapeutic uses Extended Depth of Field Optical Systems	1993.IR342B	3/17/1997	5/5/1998			CDM Optics	The Regents of the University of
Polynucleotide Phosphorodithioate Compounds	1987.CU311B	10/31/1994	:5/12/1998	08/332,829	5,750,666	:	СТІ

			3. ISSUEU Pale	711Q			
Patent Title	Disclosure No	File Date	issue Date	Pat Ser No	Pat No	Licensee	Assignee
Polynucleotide Phosphorodithioate Compounds	:1987.CU311		5/12/1998		5,750,666		. Competitive Technologie Inc.
Color polarizers for polarizing an additive colo spectrum along a first axis	5	5/23/1995	5/12/1998 		5,751,384	ColorLink	The Board o Regents of the U of C
Magneto-Biolistic Method	1994.IR425H	3/19/1996 	5/19/1998	08/617,685	5,753,477		University Technology Corporation
Method for the Treatment of Preserving Organs or Tissue for Transplantation	1995.6028H	5/23/1997	5/26/1998	08/862,534	5,756,547	Keystone	Regents of the U of C
Use of Fas Ligand to Isuppress T-lymphocyte imediated immune	1993.IR377H	1/26/1995	6/2/1998		5,759,536	Ceres	University Technology Corporation
Method and apparatus for displaying grey-scale or color images from binary	1994.IR460B	7/20/1995	6/16/1998		5,767,828	KAJ	The Regents of the U of C
Solubilization of pharmaceutical substances in an organic solvent and	1994.IR415H	6/6/1995	6/23/1998		5,770,559	RxKinetix	The Regents of the U of C
JCKING MECHANISM FOR VOLUNTARY CLOSING PROSTHETIC	!1994.IR408B	2/24/1997	9/1/1998	804545	5,800,571		University Technology Corporation
Angiotensis-Converting Enzyme Genetic Variant Screens	1994.IR360H	12/6/1995	9/1/1998	4	5,800,990	Myogen	Regents of the U of C
In vivo electrochemistry computer system and method	1992.FD248H	5/26/1995	9/15/1998		5,806,517	·	The Regents of the U of C
Laser Sensor Using Optical Feedback-Induced Frequency Modulation	1994.IR435B	4/5/1996	9/15/1998	08/629,361	5,808,743		Board of Regents of the U of C (NIST
Chemical Reactions in Water-in-Carbon Dioxide Microemulsions and	1995.6044B	1/31/1997	9/29/1998	08/792,517	5,814,678		University Technology Corp.
Varicella-Zoster Virus Antigen	1990.RC30H	7/6/1994	10/20/1998	08/271,397	5,824,319	RCT	RCT
Method for enhancing the production of hemoproteins	1993.IR316C i	8/1/1995	10/20/1998		5,824,511	_	University Technology Corporation
east-based delivery ehicles	1993.IR392H	11/15/1994	11/3/1998		5,830,463	:	University Technology Corporation

					· ·	1	
Patent Title	Disclosure No		Issue Date	Pat Ser No	Pat No	Licensee	Assignee
DES-ARG9-BK Antagonis	ts: 1995.IR472H	9/8/1995	11/10/1998		5,834,431		U of C; UTC
DES-ANGS-ENTAIN-S-IN-	:	i	!	į		İ	(co-owner
	i ;	ļ	•	Ì			Cortech re-
o i lai-kandidinin	1994.IR470H	9/8/1995	12/15/1998	08/526,065	5,849,863		U of C; UTC
Cytolytic bradykinin	1001		1				(co-owner
antagonists	•		į	i	İ		Cortech re-
the defeat	1994.IR337B	16/23/1995	12/15/1998	 	5,849,970		The Regents
Materials and methods for	1994.110078	10/20/1000	1.2.10,1000				of the
the bacterial production of	i	i	i	1	İ	1	University of
isoprene	: :4000 FD057B	10/14/1994	12/29/1998	 	5,854,038	RPI	University
Localization of a	1992.FD257B	10/14/1994	12/29/1990	1	0,004,000		Research
therapeutic agent in a cell	!		İ	ļ		1	Corp.
in vitro	14005 000011	7/40/4000	4404000	 	5,861,174	RxKinetix	University
Temperature sensitive gel	1995.6030H	7/12/1996	1/19/1999		3,501,714	CATAIRCIA	Technology
for sustained delivery of	1			}	1	1	Corporation
protein drugs	·	0.04.005	0/0/4/000	00/505 040	5,866,351		University
CD4+ T-Lymphocyte	;1991.RC71H	9/8/1995	2/2/1999	08/525,940	5,600,551		Technology
Proteases and Genes					}	Į	Corporation
Encoding Said Proteases		i	<u> </u>	20021 000	F 007 270	 	
Non-Linear Carrier	1993.IR367B	1/12/1995	2/2/1999	08/371,822	5,867,379		University of
Contollers for High-Power	i		j		j		Colorado
Factor Rectification	i	ļ			5,868,951	160.1	11-1
vel Electro-Optical	1995.6076B	5/9/1997	2/9/1999	ĺ	15,866,951	KAJ	University Technology
:Device and Method	*]		}		Corporation
·				ļ	5 070 470	CDM	
Apparatus and method for	1993.IR334B	6/7/1995	2/9/1999		5,870,179	CDM	The Regents
estimating range						Optics	of the U of C
	<u> </u>	<u> </u>	<u> </u>		5 074 000		
T1BiBaCaCuO Based	1996.7047B	4/8/1997	2/23/1999	08/835,484	5,874,383		University
superconductors			1			-	Technology
					= === ===		Corporation
Method of assembly of	1994.IR451B	9/6/1996	3/2/1999	08/711,448	5,876,830		Board of
molecular-sized nets and				1	1	1	Regents of
scaffolding			<u> </u>		I		The U of C
Orthoester Protecting	1995.JR439B	6/9/1995	3/30/1999	08/488,878	5,889,136	•	The Regents
Groups in RNA SYnthesis			Ì	,	j	}n	of the U of C
Oligonucleotide Synthesis	i T			<u> </u>			· ·
Strain measuring apparatus	1995.6079B	6/6/1997	3/30/1999	1	5,889,901	i	University
grating method having a			;		į		Technology
sensor and a reference					<u> </u>	:	Corporation
Expansion Rotary Engine	1994.IR474B	5/7/1996	5/11/1999	08/646,125	15,901,554		not shown
Expansion Motary Engine	:		:	:		•	· · · · · · · · · · · · · · · · · · ·
	-005 60065	EIDEMANN	: :	:08/861,587	5,907,035	Baxter	Baxter Biotech
Address 1110 1 1100 1	1995.6086B	5/26/1996	:5/25/1999	.00/00 1,00/	5,55.,550	i	Technology
Affinity Partitioning Protein				:	*		Sarl (co-
Purification System				<u> </u>	·	· 	

Assignee Licensee Pat Ser No Pat No File Date Issue Date Disclosure No Patent Title 08/272,726 |5,917,568 The Regents Adaptive attenuating spatial! 1993.IR292B 7/8/1994 6/29/1999 of the light modulator University of KAJ University 5.919,606 7/7/1999 5/9/1997 1996.7073B Liquid crystal cell and Technology method for assembly Corporation thereof The Regents 08/407.900 15,935,822 3/21/1995 18/10/1999 1993.IR346B Product and process for of the membrane and soluble University of polypeptide segregation 09/096,716 |5,935,932 University 1996.7065B 6/12/1998 8/10/1999 Bradykinin antagonists Technology containing Corporation pentafluorophenylalanine 08/843,530 |5,939,306 University 8/17/1999 Osmosensing histidine 1996.7018H 4/16/1997 Technology kinase Corporation: University Liquid Crystal Eyewear with 1996.7068B 8/24/1999 08/826,315 5,943,104 3/25/1997 Technology Two Identical Guest Host Corporation Subcells and Tilted University 5.952.988 11/12/1996 9/14/1999 08/748.136 1996.7008B Display Having Gradient Technology Response Pixels Corp. ColorLink University 9/14/1999 5,953,083 5/8/1997 1995.IR400B arder stacks for Technology polarizing a first color Corporation spectrum along a first axis University 5,957,866 See-Hear 08/746,738 Apparatus and methods for 1993.IR372H 11/15/1996 9/28/1999 Technology analyzing body sounds Corporation 5,959,598 KAJ The Regents 9/28/1999 12/9/1996 1994.IR460B Pixel buffer circuit for of the U of C implementing improved methods of displaying grey-The Regents Metal Dependent Catalytic 1995.6064B 5,962,291 08/949,220 10/10/1997 10/5/1999 of the U of C Antibodies and Method for Producing the Same USA (Dept of 08/843,170 5,963,176 Antenna system with edge 1999.10005B 4/14/1997 10/5/1999 Commerce, cotreatment means for owner) diminishing antenna 5,981,259 University !11/24/1997 11/9/1999 08/976,838 1991.RC71H CD4+ T-lymphocyte Technology proteases Corporation 5,981,474 | RxKinetix University 16/17/1998 111/9/1999 1994.IR415H Solubilization of Technology pharmaceutical substances Corporation in an organic solvent and University :5,982,549 . :09/079,489 5/15/1998 11/9/1999 1997.8076B Ultra-wide field viewing Technology system Corporation

Patent Title	Disclosure No	File Date	Issue Date	Pat Ser No	Pat No	Licensee	Assignee
Optical field propagation between tilted or offset planes	1995.6096B :		7 11/9/1999	08/955,084	5,982,954		University Technology Corporation
Yeast Silencing Genes, Proteins and Methods	1996.7034B	3/24/1998	11/23/1999	09/047,026	5,989,897		University Technology Corporation
Method for alleviating varicella related post-herpetic neuralgia	1992.IR366H	8/8/1994	12/7/1999		5,997,880	Merck & Co. Inc.	Merck& Co., Inc; Universi of Colorado
Method of Treating Heart Failure	1996.7080H	6/19/1998	12/7/1999		5,998,458	Myogen	University Technology Corporation
Orthoester Reagents for Use as Protecting Groups in Oligonucleotide	1995.IR439B	12/19/1997	12/28/1999	08/994,824	6,008,400		not shown
System and a Method for Frequency-Stabilizing a Diode Laser	1996.071.7071 B	4/17/1998	12/28/1999	09/062,629	6,009,111	·	University Technology Corporation
Anti-Aliasing Apparatus and Methods for Optical maging	1997.8055B	1/9/1998	2/1/2000		6,021,005	CDM Optics	University Technology Corporation
NA ribozyme polymerases, dephosphorylases,	1986.CU274B	6/5/1996	2/15/2000	08/671,824	6,025,167	·	СТІ
Condenser System for ndependently Controlling Humidity and Temperature	1996.7107B	9/23/1998	3/14/2000	09/159,296	6,036,746		University Technology Corporation
	1996.FD278	1/3/1997	3/21/2000	6,040,883	6,040,883	KAJ 	University Technology Corporation
Modification of Zeolite or Molecular Sieve Membranes Using Atomic	1995.6035	1/21/1997	3/28/2000	08/786,675	6,043,177		University Technology Corporation
witchable Achromatic Compound Retarder (as mended)	1992.IR298B	7/28/1997			6,046,786	ColorLink	University Technology Corporation
ariable length nonlinear eedbackshift registers with ynamically allocated taps	1996.005.7005 B	12/31/1996			6,049,608		University Technology Corporation
lodified Zeolite Membrane	1995.6035	1/26/1999	4/18/2000	09/236,891	6,051,517		University Technology Corporation
ethod for Treating yperoxia	1997.8041H	12/31/1997	5/2/2000	09/001,373	6,057,328		:Cell :Therapeutics, ·Inc.

		0.5.	issued Palei	:	i i		A
Patent Title	:Disclosure No	File Date	Issue Date	Pat Ser No	Pat No	Licensee	Assignee
Use of antioxidant agents to treat cholestatis liver	1995.007.6007		5/30/2000	08/784,247	6,069,167	·	University Technology Corporation
Apparatus and methods for extending depth of field in image projection systems	r 1997.8034B	5/7/1999	5/30/2000		6,069,738	CDM Optics	University Technology Corporation
T1BiBaCaCuO Based Superconductors	1996.7047B	11/13/1998	6/27/2000	09/191,566	6,080,703	į	University Technology Corporation
Method and apparatus for acoustically demixing aqueous solutions	1997.8077B	8/11/1998	7/18/2000	09/132,171	6,090,295		University Technology Corporation
Method of growing films on substrates at room temperature using	1996.054.7054	10/2/1997	7/18/2000	08/942,522	6,090,442		University Technology Corporation
Telomerase	1996.7093B	5/6/1997	7/25/2000	6,093,809	6,093,809	Geron	University Technology Corporation;
Methods and Apparatus for Fine Particle Formation	1993.IR350B	4/24/1997	8/1/2000	6,095,134	6,095,134	Temco; Aktiv-Dry	The Board of Regents of the U of C
avelet Filtering of Sodar Signals	1999.10070B	7/1/1999	8/1/2000	09/345,425	6,097,669		not shown (co- owner, Dept of Commerce)
an Autonomous Cloud	1996.7062B	5/8/1998	8/1/2000	09/074,663	6,098,018		USA (co- owner, Dept of Commerce)
Radar VCSEL based surface tracking system	1997.8092B	10/5/1998	9/5/2000	09/166,287	6,115,111	Cytyc	UTC recorded but not shown
A scatterometer with an adaptable spatial resolution	1998.9077B	3/18/1999	10/24/2000	09/271,327	6,137,433		USA (co- owner, Dept of Commerce)
modulator using cholesteric:		5/5/1997	10/31/2000	08/851,521	6,141,069		University Technology Corporation
circular polarizers Novel DT-diaphorase diected antitumor agents	1997.8011H	5/24/1999	12/5/2000	109/317,906	6,156,744		Cancer Research Campaign;
determining the state of	1997.8097B	7/21/1999	12/19/2000	:	6,161,435		University Technology Corporation
fouling/cleaning of Homogenous human papillomavirus capsomere containing compositions,	1996.7100H	7/2/1998	12/26/2000	.09/109,036	6,165,471	ì	University of Colorado; University

N .		0.0.	issued Palei	110			
Patent Title	Disclosure No	File Date	Issue Date	Pat Ser No	Pat No	Licensee	Assignee
Telomerase Catalytic Subunit	1996.70948	11/19/1997	12/26/2000	6,166,178	6,166,178	Geron	University Technology Corporation;
Damed Superconducting Coil System Having a Multitum, Planar Geometry	1996.050.7050 D		1/2/2001	09/133,470	6,169,397		University Technology Corp;NIST
A comb-generating optical cavity that includes an optical amplifier and an		1/13/1999	3/13/2001	09/229,436	6,201,638		University Technology Corporation;
Method for bandwidth efficient multiple access wireless communications	1996.7043B	3/18/1998	4/17/2001	09/040,783	6,219,341		University Technology Corporation
Pixel Buffer Circuit for Implementing Improved Methods of Displaying Grey		12/10/1998	5/1/2001		6,225,991	KAJ	The Regents of the U of C
Methods of Displaying Croy	1996.7060H	6/12/1998	5/15/2001	09/097,212	6,232,119		University Technology Corporation
Apparatus and method for displaying grey-scale or	1994.IR460B	10/15/1997	6/5/2001		6,243,072	KAJ	Regents of the U of C
ural transplantation for treating neurological disease	1996.7037H	6/17/1998	7/3/2001	09/099,121	6,254,865		University Technology Corporation
TRC8, a gene related to the hedgehog receptor,	1997.8062H	3/12/1999	7/31/2001	09/268,140	6,268,176		University Technology Corporation
patched Novel thermophilic polymerase III holoenzyme	1996.7027H	9/12/1997	5/29/2001	6,283,905	6,283,905	Replidyne	University Technology Corporation
Pixel Buffer Circuit for Implementing Improved Methods of Displaying Grey-	1994.IR460B	7/21/1998	9/25/2001	i	6,295,054	KAJ	The Regents of the University of
Microparticles of Lactide- Co-Glycolide Copolymers	1998.9019B	2/9/2000	11/20/2001	09/501,003	6,319,521		University Technology Corporation
and Methods of Making Use of Fas Ligand to Suppress T-Lymphocyte- Mediated Immune	1993.IR377H	5/29/1998	12/4/2001		6,325,999	Ceres	University Technology Corporation
Photolysis System for Fast- Response NO2 Measurements and Method		6/26/2000	2/12/2002	09/603,998	6,346,419		USA (co- owner, Dept of Commerce)
Varicella-Zoster Virus Antigen	1990.RC30H		1/30/2001	08/469,191	6,180,369 B1	RCT	RCT

Patent Title	·Disclosure No	File Date	Issue Date	Pat Ser No	Pat No	Licensee	Assignee
Method for identifying adrenergic receptor antagonists having good	1996.7076H	3/25/1998	3/20/2001	6,203,776 B1	6,203,776 B1	Myogen	University Technology Corporation
Transgenic Model and Treatment for Heart Disease	1995.6077H	4/1/1998	4/17/2001	6,218,597 B1	6,218,597 B1	Myogen	University Technology Corporation
RNA channels in biological membranes	1997.8080B	5/20/1999	5/1/2001	09/315,886	6,225,063 B1		University Technology Corporation
RAS suppressor SUR-5	1996.7056B	5/6/1999	5/1/2001	09/307,265	6,225,456 B1		University Technology Corporation
PKC inhibiting compounds and methods of using same to treat vascular and	1998.9061H 	4/21/2000	5/8/2001	09/557,038	6,228,843 B1		University Technology Corporation
Adenoviral mediated gene transfer into lymphocytes		3/19/1999	6/12/2001	09/272,496	6,245,966 B1		University Technology Corporation
Superconductor Reading & Writing Heads	1992.FD15B		11/20/1990		RE35,212		Regents of the U of C

Schedule 1.2(c)(i) Issued Patents Foreign Issued Patents

Patent Title	Disclosure N	o File Date	Issue Date	Pat Ser No	Patent No	Licensee	Assign
Use of Antioxidant Agents to Tr Cholestatic Liver Disease		1/15/1997	1/25/2001	15329/97	724620		
Treatment and prevention of adverse effects of reactive oxyg	11995.6028H gen	7/2/1997	3/23/2000	713754	713754		
Anti-cancer aldehyde conjugate drugs with enhanced cytotoxicity	1995.6092B y:	3/19/1998	1/3/2002	67654/98	738263		
Treatment and prevention of the adverse effects of reactive oxyg	: 1995.6028H en	2/7/1997	8/27/2001	199800665	1800		
Method of treating heart failure	:1996.7080H		7/19/2001	81740/98	731656		
Biological Denitrification of Water	r 1993.IR327B	10/1/1997	4/29/2001	125288	125288		
Apparatus & Method for Estimati Range (Taiwan)	ng 1993.IR334B	9/27/1997	6/23/2000	86114059	112310	CDM	
Use of fas ligand to suppress lymphocyte-mediated immune	1993.IR377H	5/26/1995	4/20/2000	26535/95	714674	Ceres	
Spherical mirror grazing incidence option/ High resolution X-ray option	e 1993.IR324B ≫	5/11/1995	8/12/1998	95921299.4	EP0708970B1	Focused X-rays	
Method for detecting and distinguising cobalamine and folic		11/20/1987	11/7/1996	6-88530	2579434		СТІ
Assay for sulfhydryl amino acids and methods for detecting and	11985.CU280H	11/20/1987	10/24/1996	62-292190	2574339		CTI
Method for quantifying sulfydryl Imino acid species	1985.CU280H	11/20/1987		7-171988	2577878	-	CTI
assay for sulfydryl amino acids and methods for detecting and	1985.CU280H	11/17/1987		87310138	269352		СТІ
ssay for sulfydryl amino acids nd methods for detecting and	1985.CU280H	11/17/1987		87310138	269352		СТІ
lethod for detecting cobalamin nd folic acid deficiency	1985.CU280H	11/17/1987			486118		СТІ
lethod for detecting cobalamin nd folic acid deficiency		11/17/1987		91203329.7	ļ		СТІ
lethod for detecting cobalamin nd folic acid deficiency		11/17/1987		98107047.1	HK1007799	-	СТІ
ethods of detecting comaviruses in biological fluids	1984.CU329H	3/26/1990	1/7/1998	90907902.2	465603		СТІ
ethods of detecting comaviruses in biological fluids	1984.CU329H	3/26/1990	1/7/1998	90907902.2	465603		CTI
ethods of detecting comaviruses in biological fluids	1984.CU329H	3/26/1990	1/7/1998	90907902.2	465603		СТІ
	1984.CU329H	3/26/1990	1/7/1998	90907902.2	465603	i	CTI
	1984.CU329H	3/26/1990	1/7/1998	90907902.2	465603		СТІ
	1984.CU329H	3/26/1990	1/7/1998	0907902.2	465603		CTI

Schedule 1.2(c)(i) Issued Patents Foreign Issued Patents

Patent Title	Disclosure No	File Date	Issue Date	Pat Ser No	Patent No	Licensee	Assignee
Protection of plants against frost injury using ice nucleation-	1981.CU111B	8/4/1982	1/22/1985	408,673	1,181,354		CTI
Preparation of samples for vitamin B12 and/or folate assay	:1977.CU59H	4/5/1982	7/23/1986	82901475.2	76314		СТІ

Schedule 1.2(c)(ii) Pending Patent Applications U.S. Pending Applications

	Disclosure No.	File Date	Pat Serial No	Licensee	Assignee
Patent Title RNA ribozyme polymerases, dephosphorylases,	1986.CU274B	1/9/1998	09/005,325	RPI	CTI
restriction endorlbonucleases and membras Product and Process for Membrane and Soluble	1993.IR346B	8/10/1999	09/370,961		
Polypentide Segregation Methods for Identifying Pharmacologicals for the	1994.IR436H	3/3/1998	09/050,344		
Treating of Heart Failure Plasminogen activator as an anti-inflammatory agent	1994.IR447	7/29/1999	09/355.522		
- all-bude conjugate drugs with	1995.6092B	2/27/1998	09/032,424		
Anti-cancer drug alderlyde compositions and enhanced cylotoxicity: compounds, compositions and Sliylating Reagents for Use as Protecting Groups in	1995.IR439B	12/19/1997	08/994,823	Dharmacon	
Oligonucleotide Synthesis Metabolic therapy directed at electron transport	1996.7009H	10/27/1998	09/179,810		
Neurotoxin Therapy	1996.7004H	1/17/2000	09/463,040	Allergan	
Use of Neurotoxin Therapy for Treatment of Urologic	1996.7004H	10/15/2001	09/978,982	Allergan	
and Related Disorders	1998.7082H	4/18/2000	09/463,259		
Promoting Agents and Uses Thereof RAS Suppressor SUR-8	1996.7109B	5/19/1998	09/081,149		
	1997.8012H	11/20/1999	09/444,138		
Method and Apparatus for Three-Difficults and Reconstruction of Coronary Vessels from Angiographic Multi-wavelength cross-correlator for ultrashort radiation	1997.8025B	12/18/1998	09/219,497		
pulses Alpha-7 Nicotine Receptors	1997.8031H	10/23/1997	08/956,518		
Sustained release composition including amorphous	1997.8045B	10/18/1899	09/403,412		
polymer Sustained-Release Composition Including Amorphous	1997.8045B	6/7/2001	Ö9/877,330		
Polymer TRC8. a gene related to the hedgehog receptor.	1997.8062H	7/2/2001	09/898,533		
patched Sugar free Fibroblast Growth Factor	1997.8046B	8/19/1999	09/377,675		
High pressure refolding of protein aggregates and	1997.8068H	7/9/1999	09/350,327		
inclusion bodies iTunable nanomasks for pattern transfer and	1997.8081B	8/18/2000	09/642,135		
nanocluster array formation Process for the Removal of Carbonyl Sulfide from	1998.9007B	1/31/2001	09/762,132		
Liquid Petroleum Gas Compositions and Methods for Administering	1998.900BH	11/20/2000	09/717,486		
Compositions and Methods for value . Chemotherapeutic Agents Low temperature oxidatnion using supported molten	1998.90108	5/19/2000	09/574.766	-	
sell catalysts	1998.9014H	3/3/2000	09/518,098		
Inhibitors of serine professe actions lcompositions for treatment of viral infections	l	l·			

Schedule 1.2(c)(li) Pending Patent Applications U.S. Pending Applications

1.				1
Disclosure No.	File Date	Pat Serial No	Licensee	Assignee
es 1998.9020B	11/30/1999	09/451,481		
1998.9023H	3/3/2000	09/518,081		
1898.9023H	3/3/2000	09/518,097		
1998.9027H	8/9/2000	09/636,728		
1998.9035B	3/10/2000	09/523,491		T
1998.9038H	3/3/2000	09/518,076	·	-
1998.9050B	8/3/1999	09/368,310	*	
1998.9055B	1/19/2001	09/766,307	· -	-
1998.9068B	5/30/2000	09/583,209		
1998.9069B	6/21/2000	09/602,602		
1998.9075H	10/18/1999	09/420,080		
1998.9078B	3/24/2000	09/534,069		
1999.10009B	6/16/2000	09/595,213		
1999.100288	9/21/2001	09/957,829		
n 1999.10031C	3/30/2001	09/823,886	-	
1999,10035B	1/12/2001	09/759,729		
1999.10040C	3/30/2001	09/823,851		
1999.10045B	2/22/2001	09/791,275	_	
1999.10047H	7/21/1999	09/358,635		
1999.10059H	11/3/2000	09/704,824		
1999.10068B	6/29/2001	09/896,955		Ī
1999.10086B	1/18/2000	09/507,522		
1999.10091H	3/21/2001	09/814,915		
2001.003B	12/28/2000	09/750,522]
	1998.9020B 1998.9023H 1998.9023H 1998.9023H 1998.9027H 1998.9038H 1998.9055B 1998.9055B 1998.9055B 1998.9055B 1998.9055B 1998.9055B 1998.9055B 1998.9055B 1998.10009B 1999.10028B 1999.10028B 1999.10035B 1999.10040C 1999.10047H 1999.10059H 1999.10068B 1999.10068B	1998.9020B 11/30/1999 1998.9023H 3/3/2000 1998.9023H 3/3/2000 1998.9027H 8/9/2000 1998.9035B 3/10/2000 1998.9050B 8/3/1999 1998.9050B 1/19/2001 1998.9068B 5/30/2000 1998.9068B 5/30/2000 1998.9075H 10/18/1999 1998.9076B 3/24/2000 1999.10009B 6/16/2000 1999.10028B 9/21/2001 1999.10035B 1/12/2001 1999.10040C 3/30/2001 1999.10045B 2/22/2001 1999.10045B 1999.10040H 7/21/1999 1999.10059H 11/3/2000 1999.10068B 6/29/2001 1999.10068B 1/18/2000 1999.10068B 1/18/2000	1998.9020B	1996.9020B

Schedule 1.2(c)(II) Pending Patent Applications U.S. Pending Applications

Patent Title	Disclosure No.	File Date	Pat Serial No	Licensee	Assign
Inhibitors of Viral Infection	2001.0218			1	
Atomic structure and interaction sites between human CR2/CD21 with C3d and uses in rational drug-design		4/11/2001	09/834,309	 	
Ultrashort Pulse Amplification in Cryogenically Coolect		2/1/2002		1	
Protection-of-Telemere-1 (POT-1) Protein and Encoding Polynucleotides	2001.0448	3/28/2001	09/816,248	_	
Datapath Design Methodology and Routing Apparatus	2001.053B	3/29/2001	09/820,864	-	
A method for isolation of prostatic epithelial cells from semen	2001.058H		09/655,708	1 00 00	
Operational bright-band snow level detection using doppler radar	2001.089B	8/30/2001	09/941,636		
Ultrahigh Termperature Micro-Glow Plug	2002.037B	11/7/2001	10/010,633		
Sub-15-ferntosecond active synchronization of two passively mode-locked ti-sapphire oscillators	2001.081B	3/29/2002	7		
Surface Plasmon Devices	2002.093B	3/20/2002			
ranspenic model for heart fallure	1996.7022	9/26/1997		Myogen	•
plit element liquid crystal tunable optical filter	1992.FD271B	6/11/1998	08/661,498	KAJ, LLC	
Methods and Apparatus for Fine Particle Formation	1993.IR350B	6/21/2000	09/598,570	Aktiv-Dry	
iral Vectors Encoding Apoptosis-Inducing Proteins nd Methods for Making and Using the Same	1993.JR377H	12/8/1999		Ceres has first	****
east-Dendritic Cell Vaccines and Uses Thereof**	1993.IR392H	11/15/2001	09/991,363	Ceres has first	
pparatus and method for displaying grey-scale or plor images from binary images	1994.IR460B	3/17/1997		LA	
	1994.IR460B	7/21/1998	09/119,976	<u>a</u>	(
emperature Sensitive Gel for Sustained Delivery of rotein Drugs	1995.6030H	1/15/1999	09/232,288	RxKinetix	• •
	1995.6075H	8/7/2001	09/924,898	RxKinelix	
ethods of Analysis and Evaluation of the Semantic	1996.7061B	7/23/1998	09/121,450	KAT	
	1998.9048B	8/28/2000	09/649,118	CÓM	- ·
	1998.9065B	6/16/2000	09/596,153	MO	-
	1999.10033B	3/21/2001	09/814,197	DM	
tended depth of focus for human vision	1999.10043B	9/15/2000	09/663,883	DM	

Schedule 1.2(c)(ii) Pending Patent Applications U.S. Pending Applications

				-,	
Patent Title	Disclosure No.	File Date	Pat Serial No	Licensee	Assignee
Metal-Oxide Electron Tunneling Device for Solar Ener	gy 1999.10088B	5/18/2001	09/860,988	Phlar	
Conversion				1	
High Speed Electron Tunneling Device and Applications	2001.077B	5/19/2001	09/860,972	Phiar	" -
Surface Plasmon Devices	200.093B	3/20/2002	not assigned yet	Phiar	_
Methods and Apparatus for Fine Particle Formation	1993.IR350B	5/16/2001	09/858,998	Aktiv-Dry	†
Novel Thermophilic Polymerase III Holoenzyme	1996.7027H	8/11/1998	09/151,888	Replidyne	•
Diagnosis and Treatment of Myocardial Failure	1998.7077H	4/25/2000	09/558,472	Myogen	•
Diagnosis and Treatment of Myocardial Failure	1996.7077H	10/1/2001	09/969,086	Myogen	
Novel Telomerase	1996.7094B		08/854,050	Geron	*
Novel Telomerase	1996.70948	10/29/1999	09/430,323	Geron	
Human Telomerase Catalytic Subunit	1997.8015B	11/2/1999	09/432,503	Geron	
Stable protein formulations using supercritical fluid- assisted nebulization	1998.9070B	6/9/2000	09/591,213	Aktiv Dry	T
Carcinoembryonic Antigen Cell Adhesion Molecule 1 (CEACAM1) Structure and Uses Thereof in Drug	2002.073H	4/5/2002	not assigned yet		

Schedule 1.2(c)(ii) Patent Applications

U. S. Provisional Patent Applications

O. O. PIOVISIO	iai Patent Application		
Patent Title	Disclosure No	File Date	Pat Ser No
Controlled Surface Tension or Shrinkage Assembly 3D MEMS	of 1999.10071B	5/18/2001	60/292,137
Antioxidant Solution for Hepatic Steatosis	2001.029H	5/17/2001	60/292,142
System and method for determining soil swelling potential using reflectance spectroscopy	2001.0608	5/24/2001	60/293,253
Allergan Functional IgE Test	2001.057H	5/28/2001	60/294,465
Functional Allergen Detection Test	2001.057H	5/29/2001	50/294,466
Micro Axial Flow Fans	2001.020B	6/4/2001	60/295,749
Methods for prediction of response of virus infections of interferon therapy	2001.047H	6/8/2001	60/296,841
tructural Basis of Quorum Sensing Signal Generation and Therapeutic Agents Derived Therefrom	2001.010H	7/5/2001	60/303,449
). Approximate to	2001.079B	7/10/2001	60/304,227
sulating and Functionalizing Fine Metal-Containing articles with Conformal Ultre-thin Films	2002.001B	7/18/2001	60/306,519
ethod for the Deposition of an Inorganic Fiom on an rganic Polymer Surface Using Atomic Layer eposition Techniques	2002.002B	7/18/2001	60/306,521
pression profiling in the intact human heart	2002.026H	9/11/2001	60/318,854
gital Controller for High-Frequency Switching Power	2002.062B	12/7/2001	60/338,712
tomated Foot-Shock Sensitivity Scoring Method	2002.027H	11/19/2001	60/339,613
sonant Micro Actuators	2001.036B	11/9/2001	60/345,047

Schedule 1.2(c)(ii) Patent Applications

U. S. Provisional Patent Applica	ations
----------------------------------	--------

0. O. 1 101101011	al Patent Applications		
Patent Title Adaptive Voltage Regulator for Digital VLSI Processo	Disclosure No 2002.051B	File Date 11/9/2001	Pat Ser No 60/345,127
Digitally Positioned Micromirror(s) for Optical Applications	2002.056B	1/18/2002	60/350,754
Method and Apparatus for Quantifying Membrane and Thin Film Morphology	2002.003B	2/6/2002	60/354,865
Controlling grey Component in a Color Image Using Pareto-Optimal Color Space Transformation	2002.038B	1/25/2002	80/352,060
	2002.082B	3/7/2002	80/362,563
Power Converters Utilizing Matrices of Improved Switch Cells	2001.073B	4/12/2002	60/372,226
Patent filed by NIST (co-owner)	2001.074B	7/2/2001	
Patent filed by NIST (co-owner)	2001.075B	7/2/2001	
Methods and Products to Lower, Increase, or Remove Cell Surface Costimulatory Molecules by Altering Levels of Intracellular Reactive Oxygen Intermediates	2002.030C	-	
Cantilevered Micro-Structure and Technique for Vertical Displacement Response of a Free-End	2002.039B	11/2/2001	
., ,	2002.041B	12/17/2001	—
MEMS-Adjusted Laser-to-Fiber Coupling Module for Optical Communications	2002.0558	1/19/2002	
Variable Speed Wind Power System with Improved Energy Capture via Multilevel Conversion (Discl. Title)	2002.057B		
Photopolymerizations of Degradable Thiol-Ene Polymers	2001.028B	10/20/2001	number not assigned yet
Genetically-engineered cyclic nucleotide-gated ion channels as sensors for intracellular cyclic AMP	2001.042H	11/16/2001	number not assigned yet

Schedule 1.2(c)(ii) Patent applications

National Phase	foreign	applications
----------------	---------	--------------

Dutant Title	Disclosure No	File Date	Pat Ser No	Assignee
Patent Title Orthoester Reagents for Use	1995.IR439		503238/1997	
as Protecting Groups in	:			
Oligonucleotide Synthesis			1	
Liquid crystal achromatic	1992.IR298B	10/7/1997	530,410/1996	
compound retarder				
Compound rotal co.				
Extended Depth of Field	1993.IR342B	2/5/1996	1997-705403	
Optical Systems				
Option Cycles				
Extended Depth of Field	1993.IR342B	8/1/1997	8-523771	
Optical Systems				
opace. Cycles			1	
Use of Fas Ligand to	1993.IR377H	5/26/1995	2189778	
Suppress Lymphocyte-				ļ
Mediated Immune			95921459.4	
Use of Fas Ligand	1993.IR377H	5/26/1995	95921458.4	1
-	: 1			-
		E/OC/400E	8-501092	
Use of Fas Ligand	1993.IR377H	5/26/1995	0-00 1002	į
1 to 2 12 to 2 12 to 2 1 to 2	HOOR IPSORL	11/15/1995	2,205,379	
Yeast based delivery	1993.IR392H	11/15/1995	2,200,010	
vehicles				
	1993.IR392H	11/15/1995	95941401.2	
Yeast based delivery	1993.1639211	1171071000		
vehicles		[
	1993.IR392H	11/15/1995	8-516320	
Yeast based delivery	1333.1633411			ļ
vehicles				
and the same of th	1994.IR460B	7/16/1998	PRC 9619399.9	
Apparatus and method for	i			
displaying grey-scale or color	:			
images from binary images	1995.6030H	 	97935238.2	
I BIT IDELATOR COLLEGIA		İ		i i
for Sustained Delivery of				
Protein Drugs	:1995.6030H	 		•
Lettibetarate course.	, 1330.000011	i :	ļ	:
for Sustained Delivery of		•		
Protein Drugs	1997.8055B	11/6/1999	99902067	
Anti-aliasing Apparatus and	1551.000.00			
Methods for Optical Imaging		[

Schedule 1.2(c)(ii) Patent applications

National Phase foreign applications						
Anti-aliasing apparatus and methods for optical imaging	1997.8055B	7/10/2000				
Spherical mirror grazing	1993.IR324B	1/14/1997	500453/97			
incidence x-ray optics						
Spherical Mirror Grazing	1993.IR324B		529906/95			
Incidence X-Ray Optics						